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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/799,331	03/12/2004	Stephan Levine	ASX-066

Proskauer Rose LLP  
 14th Floor  
 One International Place  
 Boston, MA 02110-2624



CONFIRMATION NO. 6232

## FORMALITIES LETTER



\*OC000000012802900\*

Date Mailed: 05/27/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$194** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1094** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

07/27/2004 BABRAHA1 00000030 503081 10799331

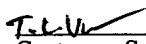
01 FC:1001	770.00 DA
02 FC:1051	130.00 DA
03 FC:1202	108.00 DA
04 FC:1201	86.00 DA

- Total additional claim fee(s) for this application is **\$194**
  - **\$86** for **1** independent claims over 3.
  - **\$108** for **6** total claims over 20.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT  
Attorney Docket No.: ASX-066

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Levine et al. GROUP NO.: 2877  
SERIAL NO.: 10/799,331 EXAMINER: Not yet assigned.  
FILING DATE: March 12, 2004  
TITLE: OZONE CONCENTRATION SENSOR

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Sir:

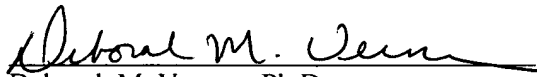
In response to the Notice to File Missing Parts of Nonprovisional Application mailed on May 27, 2004, enclosed is a copy of the Declaration and Power of Attorney for Utility or Design Patent Application, a Fee Transmittal, and a copy of the Fee Transmittal giving the U.S. Patent and Trademark Office authorization to charge Deposit Account No. 50-3081 the amount of \$1094.00 for payment of the statutory basic filing fee and late filing fee for a large entity in compliance with 37 C.F.R. 1.27.

Please charge Deposit Account No. 50-3081 for any additional fees that may be due in this matter.

Respectfully submitted,

Date: July 23, 2004  
Reg. No.: 55,699

Tel. No.: (617) 526-9836  
Fax No. (617) 526-9899

  
Deborah M. Vernon, Ph.D.  
Agent for the Applicants  
Proskauer Rose LLP  
One International Place  
14<sup>th</sup> Floor  
Boston, MA 02110



# TRANSMITTAL FORM

Application Serial Number	10/799,331
Filing Date	March 12, 2004
First Named Inventor	Levine
Group Art Unit	2877
Examiner Name	Not yet assigned
Attorney Docket No.	ASX-066
Patent No.	Not applicable
Issue Date	Not applicable

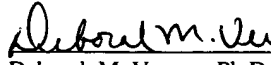
## ENCLOSURES (check all that apply)

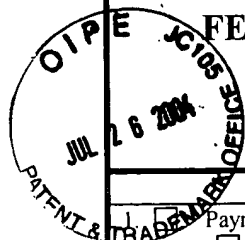
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input checked="" type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]  <input type="checkbox"/> Petition for Extension of Time  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application  <input type="checkbox"/> Formal Drawing(s)  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8  <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) 1) Response to Notice to File Missing Parts
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## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Proskauer Rose LLP  
One International Place  
14<sup>th</sup> Floor  
Boston, MA 02110-2600  
Tel. No.: (617) 526-9600  
Fax No.: (617) 526-9899

## SIGNATURE BLOCK

Respectfully submitted,  
  
Date: July 23, 2004  
Reg. No.: 55,699  
Tel. No.: (617) 526-9836  
Fax No.: (617) 526-9899  
Deborah M. Vernon, Ph.D.  
Agent for the Applicant(s)  
Proskauer Rose LLP  
One International Place  
14<sup>th</sup> Floor  
Boston, MA 02110-2600



# FEE TRANSMITTAL FY 2004

Complete if Known

Application Serial Number	10/799,331
Filing Date	March 12, 2004
First Named Inventor	Levine et al.
Group Art Unit	2877
Examiner Name	Not yet assigned.
Attorney Docket No.	ASX-066

## METHOD OF PAYMENT

Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 50-3081.

☒ Required Fees (copy of this sheet enclosed).

☒ Additional fee required under 37 CFR 1.16 and 1.17.

☒ Overpayment Credit.

3. ☐ Applicant claims small entity status.

## FEE CALCULATION

### 1. FILING FEE

#### Large Entity

Fee (\$)	Fee Description	Fee Paid
770	Utility filing fee	770.00
340	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
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Total Claims 26 - 20 = 6 x \$ 18.00 = 108.00

Independent Claims 4 - 3 = 1 x \$ 86.00 = 86.00

☐ Multiple Dependent Claim(s), if any \$290.00 =

TOTAL: 964.00

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 964.00

### 2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 86.00 =

☐ First Presentation of Multiple Dep. Claim + \$290.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
420	210	Extension for reply within second month	
950	475	Extension for reply within third month	
1480	740	Extension for reply within fourth month	
2010	1005	Extension for reply within fifth month	
330	165	Notice of Appeal	
330	165	Filing a brief in support of an appeal	
290	145	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
770	385	Filing a submission after final rejection (37 CFR 1.129(a))	
770	385	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
110	55	Submission of Terminal Disclaimer	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) (\$) 130.00

SUBTOTAL (1) 964.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 130.00

TOTAL (\$) 1094.00

## CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator  
Proskauer Rose LLP  
One International Place, 14<sup>th</sup> Floor  
Boston, MA 02110  
Tel. No.: (617) 526-9600  
Fax No.: (617) 526-9899

## SIGNATURE BLOCK

Date: July 23, 2004  
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Respectfully submitted,

Deborah M. Vernon, Ph.D.  
Agent for the Applicants  
Proskauer Rose LLP  
One International Place, 14<sup>th</sup> Floor  
Boston, MA 02110




PATENT  
Attorney Docket No. ASX-066

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Levine et al. GROUP NO.: 2877  
SERIAL NO.: 10/799,331 EXAMINER: Not yet assigned.  
FILING DATE: March 12, 2004  
TITLE: OZONE CONCENTRATION SENSOR

***CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8***

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 23rd day of July, 2004.

  
Olivia J. Mannion

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are: Transmittal Form (1 page); Fee Transmittal (1 page); Copy of Fee Transmittal (1 page); Copy of Notice to File Missing Parts of Nonprovisional Application (2 pages); Response to Notice to File Missing Parts of Nonprovisional Application (1 page); Copy of Executed Declaration and Power of Attorney (5 pages); and a return receipt postcard.